



Express Mail No.: EL 500 575 290 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: *Siegall et al.*

Confirmation No.: 6564

Application No.: 09/328,296

Group Art Unit: 1642

Filed: June 8, 1999

Examiner: K. Canella

For: RECOMBINANT ANTI-CD40 ANTIBODY  
AND USES THEREOF

Attorney Docket No.: 9632-005

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FEE TRANSMITTAL SHEET

Assistant Commissioner for Patents  
Box AF  
Washington, D.C. 20231

Sir:

The fee required to be filed with the accompanying amendment of even date herewith concerning the above-identified application has been estimated to be \$0.

The claim amendment fee has been estimated as shown below:

| (Col. 1)   |     | (Col. 2)                       |     | (Col. 3)      |   | SMALL ENTITY |            | OTHER THAN A SMALL ENTITY |            |
|--|-----|--------------------------------|-----|---------------|---|--------------|------------|---------------------------|------------|
| CLAIMS REMAINING AFTER AMENDMENT                                   |     | HIGHEST NO PREVIOUSLY PAID FOR |     | PRESENT EXTRA |   | RATE         | ADDIT. FEE | RATE                      | ADDIT. FEE |
| TOTAL  | 146 | MINUS                          | 146 | =             | 0 | × 9          | \$ 0.00    | × 18                      | \$         |
| INDEP.   | 14  | MINUS                          | 14  | =             | 0 | × 42         | \$ 0.00    | × 84                      | \$         |
| <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM |     |                                |     |               |   | 140          | \$         | 280                       | \$         |
| TOTAL  |     |                                |     |               |   | \$           | 0.00       | OR                        | TOTAL \$   |

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.  
A copy of this sheet is enclosed.

Respectfully submitted,

Date: November 4, 2002

Adriane M. Antler 32,605  
Adriane M. Antler  
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New York, New York 10036-2711  
(212) 790-9090  
(Reg. No.)



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**AMENDMENT UNDER 37 C.F.R. § 1.116**

Assistant Commissioner for Patents  
Box AF  
Washington, D.C. 20231

S I R:

In response to the outstanding Office Action dated June 4, 2002, please enter  
the following amendments and consider the following remarks. Applicants submit  
concurrently herewith:

1. Exhibit A, containing a marked up version of the amended claim;
2. Exhibit B, containing a copy of the claims as will be pending upon entry of  
the instant amendments;
3. a Petition Under 37 C.F.R. § 1.136(a) for an Extension of Time to Respond  
for two months up to and including November 4, 2002, with the necessary fee;
4. an Amendment Fee Transmittal Sheet;
5. a Notice of Appeal; and
6. a Request to Establish Small Entity Status.

**IN THE CLAIMS:**

Please amend the claims as follows:

Amend claim 36 to read as follows: